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08/978,636	11/25/1997	ELAZAR RABBBANI	ENZ-53(DIV-3	4642
28171	7590	06/22/2009	EXAMINER	
ENZO BIOCHEM, INC. 527 MADISON AVENUE (9TH FLOOR) NEW YORK, NY 10022			BOWMAN, AMY HUDSON	
			ART UNIT	PAPER NUMBER
			1635	
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			06/22/2009	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

08/978,636

Applicant(s)

RABBBANI ET AL.

Examiner

AMY BOWMAN

Art Unit

1635

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 March 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 245, 247-255, 262, 265 and 268-271 is/are pending in the application.
- 4a) Of the above claim(s) 268 and 269 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 245, 247-255, 262, 265, 270, and 271 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 November 1997 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 3/25/09.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Status of Application/Amendment/Claims***

Applicant's response filed 3/15/09 has been considered. Rejections and/or objections not reiterated from the previous office action mailed 9/15/08 are hereby withdrawn. The following rejections and/or objections are either newly applied or are reiterated and are the only rejections and/or objections presently applied to the instant application.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claims 245, 247-255, 262, 265, and 268-271 are pending in the instant application.

This application contains claims 268 and 269 that are drawn to an invention nonelected with traverse in the reply filed on 10/9/07. A complete reply to the final rejection must include cancellation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.

Applicant's arguments and/or amendments to the claims filed on 3/15/09 have been fully considered but are not persuasive for the reasons set forth below.

Furthermore, a new grounds of rejection is set forth in view of the newly added claims.

### ***Response to Applicants Arguments-- 35 USC § 112***

Claims 245, 247-255, 262, 265, 270, and 271 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. This

rejection is repeated for the same reasons of record as set forth in the actions mailed 5/31/05, 6/27/06, 4/4/07, 12/26/07, and 9/15/08.

Applicant argues that the majority of insertion events would generate frameshift mutations in the coding sequence and inactivate a target gene. Applicant argues that that fact that a frameshift mutation in a transcript will inactivate gene expression is well known in the art. It is agreed that the insertion of an intron into a coding sequence may inactivate gene expression. However, as set forth by applicant, 1/3 of the time, such an insertion will not inactivate gene expression. Therefore, the instant specification does not adequately describe insertion of any intron into any sequence encoding any polymerase in a manner that the construct would necessarily result in being incapable of being expressed in a prokaryotic cell and capability of producing more than one copy of a sequence, not even necessarily the same sequence, when introduced into a eukaryotic cell.

Applicant argues that undue experimentation would not be needed because the expectation of success is 2/3 of the time. However, the claims are extremely broad and do not set forth any criteria for the intron or target sequence other than that nucleic acid encodes a polymerase. The specification does not describe such a huge genus of nucleic acid constructs in a way that one of skill in the art would be able to recognize that applicant was in possession of the claimed genus at the time of filing. The specification does not set forth any specific structural feature to describe the genus of constructs that would result in the claimed activity. Although applicant asserts that it is a readily ascertainable property to choose an intron candidate, the specification has not

described what feature of the intron would necessarily result in the instantly recited outcome. Due to the breadth of the instant claims, one of skill in the art would not be able to readily envision the instant genus of constructs that would be incapable of expression in a prokaryote but capable of producing a nucleic acid sequence in a eukaryotic cell, as splicing is not a predictable event with regards to such a broad genus of introns and nucleic acid sequences.

Applicant asserts that the specification sets forth specific sequence guidance features that are part of the intron sequences on pages 84 and 85, for example that consensus sequences should be present and that perhaps a frameshift mutation and/or stop codon should be present. However, these are not elements of the instant claims and are set forth in the specification as possible embodiments. The specification does not describe such a broad genus as instantly recited in a way that would allow one of skill to envision which species of the instant genus would in fact operate in the claimed fashion, given that splicing events are sequence specific and unpredictable in the art.

Applicant explains that the presences of stop codons and/or frameshift mutations will prevent expression in prokaryotes or eukaryotes and that splicing machinery in eukaryotes allow for expression. However, these elements are not necessary in the instant claims and the specification does not describe nucleic acid constructs commensurate in scope with the instant claims that would allow one to envision the species of possible introns in possible locations in any possible nucleic acid construct that encodes a polymerase that would necessarily be spliced and result in expression in eukaryotes.

Applicant asserts that there are a large number of introns available that will fulfill the properties of the instant claims. However, there is no guidance in the specification that would define this subgenus, whereas the claims embrace insertion of any intron at any location within the nucleic acid construct with resultant splicing in eukaryotes.

Applicant asserts that there is allowed to be complexity in the machinery. The examiner is not arguing the level of complexity, but rather is arguing that one would not be able to envision the instant genus of molecules as instantly recited and sets forth art to support the unpredictability of the splicing machinery.

Applicant points to the SV40 intron, as disclosed in the specification, and explains that the SV40 intron contains stop codons in all three reading frames. Applicant sets forth mathematical calculations on the probabilities of a codon being a stop codon and points to Schwartz et al. for teaching an intron inserted into a coding sequence that resulted in a frameshift mutation. Although there are introns in the art that certainly contain stop codons or would result in a frameshift mutation, the specification does not set forth any specific property that would result in incapability of being expressed in a prokaryote while able to produce copies of a transcript in a eukaryote and it is not evident that insertion of any intron would have these results. It appears that applicant is relying upon the assumption that eukaryotic splicing machinery would necessarily result in splicing of any intron located in any sequence encoding any gene product. The Schwartz et al. reference reports on a specific intron that would result in two in-frame stop codons as well as a reading frame shift.

It is important to note that the instant claims are not limited to the embodiments addressed by applicant above. The specification does not provide support for the use of any intron, in any polymerase or any bacteriophage polymerase, or any conditionally toxic gene, in any eukaryotic or prokaryotic cell because the specification provides only minimal description of any particular intron, polymerase (including bacteriophage polymerase), or toxic gene, or eukaryotic or prokaryotic cells for whom known structures exist that could be utilized having the claimed function.

Applicant points to Mount et al. for teachings regarding knowledge of numerous introns. It is agreed that many introns were known in the art, but one of skill would not have been able to envision which ones would act in the context of the instant claims. Regarding "toxic gene", it is acknowledged that the instant specification discusses some examples of a gene being considered toxic in a prokaryotic cell, however the instant specification does not set forth any structural feature that would allow one of skill to envision which genes are considered toxic versus those that are not within the context of the instant claim breadth.

It is acknowledged that it is well known that prokaryotic cells lack splicing machinery that is present in eukaryotic cells. Therefore, the lack of written description is not based upon the differences between prokaryotic and eukaryotic cells, but rather is based upon insertion of any intron into any sequence encoding any polymerase with this resultant action.

The specification provides for the use of T3, T7 or SP6 polymerases, and also for the use of certain "consensus" splice donor and acceptor sites for inserting introns.

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Applicants prophetically suggest that intron “insertion at any of these sites in a gene coding region should not affect subsequent removal of the processing element in a compatible cell.” (page 84 of the instant specification). However, there is significant unpredictability in such intron removal, since such a process requires a complex interaction between the nucleic acid construct and the already existent cellular machinery.

Applicant argues that the (C/A) AGG sites in the target genes resemble a post-splice site and points to Dibb for support of this concept. Applicant argues that these sites will be converted into splice donor and acceptor sites by the addition of the flanking intron sequence. If the presence of a (C/A) AGG site is what applicant is relying upon for the instant mechanism to occur, this should be an aspect of the instant claims. Claims 262, 270, and 271 are the only claims that require a (C/A) AGG site, but these claims are directed to constructs comprising a nucleic acid sequence encoding any gene product, where the specification does not describe that this mechanism would necessarily occur in any gene with a (C/A) AGG site.

Although applicant asserts that splicing is predictable and argues that Balvay et al. reference, Balvay et al. indicates that the splicing machinery is highly dependent upon recognizing and interacting with such secondary structures in making the splice and therefore demonstrates that there are additional considerations in splicing mechanisms. Balvay et al. indicates that the addition of a secondary structure to an existing mRNA can cause the cell to splice at a point not normally spliced at, while removal of such a structure can cause splicing to be eliminated (for example see pages



165 bridging to 166). Furthermore, Balvay indicates that the exon plays a significant role in splice site recognition by the cellular splicing machinery. Since one of skill would understand that the nucleotides in the exon remain in the mRNA (or ribozyme) after splicing, applicants claimed nucleic acid constructs, following splicing, would likely therefore contain elements of these exon recognition sites. Such unpredictability indicates that the genus of nucleic acid constructs comprising any intron in any polymerase (or any bacteriophage polymerase), or any toxic gene, and that are active or inactive depending on whether they are found in prokaryotic or eukaryotic cells is very large. Regardless of applicant's specific interpretation of the scenarios of Balvay et al., Balvay et al. demonstrates that applicant has not adequately described the instant breadth in a manner that one of skill would be able to readily envision the instant constructs and would not be able to readily envision the specific genus of constructs that would result in the instant outcomes.

Furthermore, applicant asserts that the methods used to block expression are not related to the ultimate function of the protein and therefore the only knowledge necessary would be the sequence of the protein or polymerase so that an appropriate site could be chosen. However, the instant specification does not describe such a broad genus of nucleic acid constructs that would conditionally control the expression of any polymerase or protein sequence based on the presence of any intron in any eukaryotic or prokaryotic cell. The specification does not disclose a structural characteristic that would allow one of ordinary skill to recognize which introns introduced into which sequences would result in expression or lack of expression of which

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polymerases or proteins. Applicant argues the necessity of a structural characteristic in the claims. However, the instant genus is extremely broad. In order for one of skill to recognize that applicant was in possession of such a huge genus when the art points to unpredictable results within the genus, there is a need for a nexus between the instant claim breadth and the instantly recited activity.

Contrary to applicant's assertions, the specific example given in the specification is not representative of the broad genus of nucleic acid constructs that are instantly being claimed. The structural characteristics recited in the instant claims are extremely broad and the specification does not disclose a structural characteristic that would allow for the skilled artisan to envisage the entire genus claimed of nucleic acid constructs with any intron that would result in any polymerase to be incapable of being expressed in any prokaryotic cells and capable of producing a nucleic acid sequence when introduced into any eukaryotic cell. Therefore, the skilled artisan would not be able to recognize that applicant was in possession of such a broad genus of nucleic acid constructs at the time of filing.

Applicant argues that one of skill is fully capable of recognizing the characteristics that would allow a user to choose a particular intron and that SV40 is an example of a wide variety of introns that would be understood to be of use in the present invention. As explained above, one of skill would not be able to readily envision the instant genus of constructs because the claims do not set forth any structural characteristic that would describe which introns inserted into sequences encoding which polymerase would result in the instant activity, as it is acknowledged in the art that there

are additional considerations in splicing, as evidenced by Balvay et al., and that the breadth of the instant construct would not necessarily result in the instant outcomes.

Furthermore, Jaillon et al. (Nature, Vol. 451, 2008, pages 359-363) teach that most eukaryotic genes are interrupted by non-coding introns that must be accurately removed from pre-messenger RNAs to produce translatable mRNAs and that the mechanisms specifying the correct sites remain poorly understood. Jaillon et al. teach that short introns recognized by the intron definition mechanism cannot be efficiently predicted solely on the basis of sequence motifs. Jaillon et al. teach that the intrinsic efficiency of splicing varies widely among introns (see abstract).

Therefore, the teachings of the instant specification coupled with the breadth of the instant claims, is not considered to describe a representative sample of the genus of such constructs that would function as instantly recited.

Claims 245, 247-255, 262, 265, 270, and 271 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. This rejection is repeated for the same reasons of record as set forth in the actions mailed 5/31/05, 6/27/06, 4/4/07, 12/26/07, and 9/15/08.

Although applicant asserts that there is sufficient description for choosing intron sequences, there is not sufficient description for choosing intron sequences within the context of the instant invention, as it is not evident that insertion of any intron into any sequence encoding any intron, especially wherein the insertion is at any position, would

result in the instantly recited outcomes. The instant claims are not closed to introns with any specific structural characteristic that would narrow the genus to those introns that are predictably spliced in eukaryotes, as discussed above.

Applicant asserts that methods are well known in the art for introducing artificial introns. It is not disputed that methods are known in the art to introduce artificial introns. However, it is the unpredictable nature of introducing any intron into any nucleic acid sequence at any position that encodes any polymerase with a resultant incapability of the polymerase being expressed in any prokaryotic cell, whereas more than one copy of a nucleic acid sequence is produced when introduced into any eukaryotic cell. Furthermore, the claims recite that the gene product or protein expressed would be toxic specifically to a prokaryotic cell in the absence of the intron.

Applicants specifically claim that the inserted and inactivating intronic sequences will be spliced out, a process the specification indicates will be carried out by the cellular machinery that normally operates to splice introns out of pre-mRNA sequences. Applicants indicate that such splicing restores native activity to previously inactive proteins. However, the specification as filed does not provide any nucleic acid constructs for which this has actually been shown to demonstrate the predictability of such a broad mechanism. Applicant's specification does not provide sufficient guidance or examples that would enable a skilled artisan to make the disclosed nucleic acid constructs containing sequences that are spliced out by cellular machinery without undue experimentation. Although the specification prophetically considers and discloses making and using such constructs, such a disclosure would not be

considered enabling since introducing intervening sequences into nucleic acids alters their secondary structure, which makes their ability to be cleaved by the splicing machinery unpredictable. The specification has not resolved such issues, since no exemplified constructs that contain intervening sequences and are inactive therefore, and by which later processing inside the cell restores activity. Applicants have simply not shown that such intervening sequences can be spliced out to restore any activity to previously inactive polymerases (or any toxic protein for that matter).

Applicant points to Schwartz, Mayeda and Oshima for teachings of instances where introns have been inserted and spliced in eukaryotic cells and not in prokaryotic cells. It is acknowledged that insertion of an intron into a coding sequence may result in splicing of the sequence in eukaryotic cells. However, applicant is not enabled for inserting any intron into a sequence encoding any polymerase or any gene product with a predictable effect of capability of producing more than one copy of a sequence in a eukaryotic cell while being incapable of being expressed in a prokaryotic cell. The results of Mayeda and Oshima are not enabling for a method of inserting any intron into any polymerase or gene product with the instantly recited outcomes. Mayeda and Oshima teach that determinants essential for splicing is localized in the intron itself plus 3 nt of the 5' exon rather than the overall structure of the pre-mRNA. This does not mean that the structure of the pre-mRNA is not important to the slicing process, just that the 3 nt of the 5' exon were more essential. Furthermore, Mayeda and Oshima are considered evidence that determinants/structure of the intron itself is crucial to the process, this supporting that not necessarily any intron would result in the

instant outcomes when inserted into a nucleic acid encoding any gene product or polymerase. Furthermore, the 3 nt of the 5' exon were crucial for splicing, wherein instant claim 245, for example, embraces insertion anywhere in any sequence encoding any polymerase with the instantly recited outcomes. Although applicant argues that intron sequences inserted into a target gene at (C/A) AGG sites are likely to be spliced out, instant claim 245, for example, does not require this. Furthermore, Balvay et al. is evidence that the target structure does in fact play a role in splicing, as discussed above.

Applicant points to a statement of Balvay et al. "It is important to stress that in the absence of *in vivo* experiments or *in vitro* systems where transcription and splicing are coupled, all these conclusions about the functional significance of secondary structure should be taken as tentative ones." Although applicant interprets this statement as a tentative conclusion that is contrary to practical exercises that have been carried out generating *in vivo* data that introduction of introns into selected sites is a predictable art with a high likelihood of success, the statement of Balvay et al. actually supports the examiner's position. It is agreed that the issues of unpredictability due to secondary structure as taught by Balvay et al. could be overcome by *in vivo* experimentation, Balvay et al. is evidence that there are additional considerations such as secondary structure that would lead to unpredictability, absence evidence to the contrary. The instantly recited constructs have extremely broad structural characteristics that were not enabled by the instant specification or the state of the art at the time of filing. Although applicant asserts that Balvay is directed to special occasions, a conclusion of lack of

enablement means that, the specification, at the time the application was filed, would not have taught one skilled in the art how to make and/or use the full scope of the claimed invention without undue experimentation (see MPEP 2164.01(a)).

Although applicant argues that Balvay teaches rare circumstances, Jaillon et al. (Nature, Vol. 451, 2008, pages 359-363) teach that most eukaryotic genes are interrupted by non-coding introns that must be accurately removed from pre-messenger RNAs to produce translatable mRNAs and that the mechanisms specifying the correct sites remain poorly understood. Jaillon et al. teach that short introns recognized by the intron definition mechanism cannot be efficiently predicted solely on the basis of sequence motifs. Jaillon et al. teach that the intrinsic efficiency of splicing varies widely among introns (see abstract).

It is noted that introns can be inserted into genes to control the expression of the gene, as evidenced by the state of the art; (including Gattermann; and Yoshimatsu and Nagawa et al., as cited by applicant). However, none of the references are enabling for a broad method of inserting any intron into any position of any sequence encoding any gene product wherein the resultant eukaryotic sequence would express more than one copy of a sequence. None of these references enable the instant genus of predictable splicing of any intron inserted at any position within a sequence encoding any polymerase or gene product.

Again, the issue is not whether it was known in the art how to insert introns, but rather how to insert introns in a predictable fashion in accordance with the breadth of the instant claims and have the desired outcome specific to eukaryotic and prokaryotic

cells with regards to any polymerase, as recited in the instant claims. Balvay et al. is simply an example that secondary structure is one complexity when considering splicing mechanisms. The instant claims embrace insertion into locations such as those taught by Balvay.

Applicant argues that Balvay is contrary to published material that explicitly states that such a procedure is predictable and essentially problem-free. However, applicant has not pointed to any publication or teaching in the art that teaches that the instant claim scope is predictable or problem-free to support this statement.

In particular, it is demonstrated that the complex secondary structures of nucleic acids are responsible for their intron excision activity, and furthermore, that predicting the ability of the cellular splicing machinery to splice out precise intervening sequences from disrupted sequences with variable secondary structures such that native activity is restored is considered unpredictable, because the splicing machinery is sensitive to the presence or absence of such structures.

Applicant relies on Lewin for teachings regarding experiments of splicing out a hybrid intron and teachings that splicing sites are generic, meaning that they do not have specificity for individual RNA precursors and the RNA precursors do not convey specific information (such as secondary structure) that is needed for splicing. The teachings of Lewin et al. do not diminish the unpredictability of the intron splicing mechanism when a non-native intron is inserted into a sequence having secondary structure. Simply because splice sites are generic to different sequences that do not



“convey” secondary structure that is needed for splicing does not mean that the mechanism does not encounter problems of unpredictability as taught by Balvay et al.

Furthermore, the replacement of even a few nucleotides on an mRNA can abolish all activity of the translated protein. It is maintained that neither the specification nor the prior art arms one of skill with the information necessary to engineer sequences into nucleic acid constructs that will be reliably spliced out to result in a protein with native activity restored.

In order to practice the invention using the specification and the state of the prior art as outlined above, the quantity of experimentation required to practice the invention as claimed would therefore require the *de novo* determination of intervening sequences that can be fully spliced out without leaving behind any nucleotides that might interfere with native activity. In the absence of sufficient guidance from the specification, the amount of experimentation would be undue, and one would have been unable to practice the invention over the scope claimed.

Applicant argues that there is no need for *de novo* determination of intervening sequences because the art teaches introns that can be fully spliced out. The examiner is not arguing that there is not evidence of intron splicing in the art, but rather lack of evidence of predictable splicing and expression in eukaryotic cells commensurate in scope with the instant claims.

MPEP 2164.01

Any analysis of whether a particular claim is supported by the disclosure in an application requires a determination of whether that disclosure, **when filed**, contained sufficient information regarding the subject matter of the claims as to enable one skilled in the pertinent art to make and use the claimed invention.

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Also, MPEP 2164.01(a)

A conclusion of lack of enablement means that, based on the evidence regarding each of the above factors, the specification, **at the time the application was filed**, would not have taught one skilled in the art how to make and/or use the full scope of the claimed invention without undue experimentation. *In re Wright*, 999 F.2d 1557, 1562, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993).

### ***Double Patenting***

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the “right to exclude” granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 245, 247-255, 262, 265, 270, and 271 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1 and 2 of copending Application No. 11/929,055. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of application '055 are directed to a construct comprising a nucleic acid encoding a polymerase and a non-native intron sequence wherein the polymerase is incapable of

being expressed in a prokaryotic cell and is capable of producing more than one copy of a nucleic acid in a eukaryotic cell, which are each elements of the instant claims.

Furthermore, each of the additional elements of the instant claims are embodiments of the '055 claims, as supported by the specification of application '055.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Applicant sets forth that this rejection will be addressed once there is indication of allowable subject matter.

### ***New Objections/Rejections***

#### ***Claim Objections***

Claim 271 is objected to because of the following informalities: In claim 271, it appears that applicant inadvertently inserted two commas after the word "intron".

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 271 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 271 recites the limitation "said intron", although the claim does not previously refer to an intron. Therefore, there is insufficient antecedent basis for this limitation in the claim.

### ***Conclusion***

No claims are allowed.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to AMY BOWMAN whose telephone number is (571)272-0755. The examiner can normally be reached on Monday-Thursday 6:00 - 4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James (Doug) Schultz can be reached on (571) 272-0763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AMY BOWMAN  
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Art Unit 1635

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